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PATENT

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Date

February 18, 2003

Lisa Napoli

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Vishnu K. Agarwal

Attorney Docket No. : 500431.04

Serial No. : 09/910,661

Group Art Unit : 1763

Filed : July 20, 2001

Examiner : George A. Goudreau

Title : METHOD AND APPARATUS FOR ENDPOINTING A CHEMICAL-MECHANICAL PLANARIZATION PROCESS

REQUEST FOR CONTINUED EXAMINATION (RCE)
UNDER 37 C.F.R. § 1.114

Box RCE
Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicant requests continued examination, under 37 C.F.R. § 1.114, of the above-identified application.

1. Submission Required Under 37 C.F.R. § 1.114

- a. ☐ Submission previously submitted
- i. ☐ Consider the amendment/reply under 37 C.F.R. § 1.116 previously filed on _____.
 - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
 - iii. ☐ Consider the Information Disclosure Statement (IDS) previously filed on _____.
- b. ☒ Submission enclosed
- i. ☒ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)

2. Suspension of Action (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required)

☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ____ months.

3. Accompanying Papers

☒ Postcard
☒ Check
☒ Fee Transmittal Sheet
☐ Petition


4. Deposit Account

☒ The Commissioner is authorized to charge any additional fees required in the above-identified patent application to Deposit Account No. 50-1266.

Respectfully submitted,

Date: 2/18/03

By: _____


Steven H. Arterberry
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Customer No. 27,076
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SHA/ln

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FEE TRANSMITTAL SHEET (FOR FY 2002)

Complete if Known

Application No. 09/910,661
 Filing Date July 20, 2001
 First Named Inventor Vishnu K. Agarwal
 Group Art Unit 1763
 Examiner George A. Goudreau
 Atty. Docket Number 500431.04

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METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	750	201	375	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ -0-

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total 20	-	21	= -0- x \$ 18	= \$ -0-
Ind. 1	-	3	= -0- x \$ 84	= \$ -0-
Multiple Dependent Claims				x \$ = \$

Subtotal (2) \$ -0-

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$ 110
116	410	216	205	Extension for reply within 2 nd month	\$
117	930	217	465	Extension for reply within 3 rd month	\$
118	1,450	218	725	Extension for reply within 4 th month	\$
128	1,970	280	985	Extension for reply within 5 th month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,300	241	650	Petition to revive - unintentional	\$
142	1,300	242	650	Utility/Reissue issue fee (+ advance copies)	\$
143	470	243	235	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	750	279	375	Request for Continued Examination (RCE)	\$ 750
Other fee (specify)					\$

Subtotal (3) \$ 860

Total Amount of Payment: \$ 860

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

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Signature: *Steven H. Arterberry*

Date: 2/18/03